



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **HEKIMI, Siegfried et al.**

Serial No.: **09/513,151**

Filed: **February 25, 2000**

For: **"THE C. ELEGANS GRO-1 GENE"**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, DC 20231
U.S.A.

Sir:

Submitted herewith on form PTO-1449 is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56 along with a copy of the International Search Report issued in connection with PCT/CA98/00803. Copies of the listed documents are also being submitted herewith to comply with the provisions of 37 C.F.R. §1.98, with copies of English translations of non-English language references or of the pertinent portions thereof, if such translations are readily available. If applicable, English copies of foreign search reports citing at least some of the documents herein listed on PTO-1449 are also enclosed.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

- 2 -

Assistant Commissioner for PatentsStatement of relevancy

The listed document is being submitted either in compliance with 37 C.F.R. 197(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97(e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submissions under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed references are hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

May 2, 2000
Date

KLAUBER & JACKSON
Attorney at Law
Continental Plaza
411 Hackensack Avenue
Hackensack, New Jersey 07601
U.S.A.
Tel.: (201) 343-1684

Respectfully submitted,

David A. Jackson
Registration No. 26,742

0300



PATENT
979-1-017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : HEKIMI, Siegfried et al ART UNIT : Not Assigned
SERIAL NO. : 09/513,151 EXAMINER : Not Assigned
FILED : February 25, 2000
FOR : THE C. ELEGANS GRO-1 GENE

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on May 2, 2000.

David A. Jackson, Reg. No. 26,742
(Name of Registered Representative)

Luis A. Suarez 5/2/00
(Signature and Date)

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A and B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **3****Complete if Known**

Application Number	09/513,151
Filing Date	February 25, 2000
First Named Inventor	Siegfried HEKIMI et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	979-1-017

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		WILSON, R. et al. (1994); Nature 368:32-38	
		WILSON, R. et al. (1994), XP-002089006 "Caenorhabditis elegans cosmid ZC395"	
		WILSON, R. et al. (1994), XP-002089545 "Caenorhabditis elegans cosmid C34E10"	
		ADAMS, M.D. et al. (1995), Nature 377:3-17	
		ADAMS, M.D. et al. (1997), XP-002089546 "EST36068 Embryo, 8 week 1 homo sapiens CDNA 5' end similar to similar to tRNA isopentenyltransferase"	
		ADAMS, M.D. et al. (1997), XP-002089547, "EST64588 Jurkat T-cells VI Homo sapiens CDNA 5' end similar to similar to tRNA isopentenyltransferase"	
		LAKOWSKI, B. et al (1996), Science 272:1010-1013	
		EWBANK, J.J. et al. (1997), Science 275:980-3	
		SPIETH, J. et al. (1993), Cell 73:521-32	
		HODGKIN et al. (1997) Genetics 146:149-64	
		WONG et al. (1995) Genetics 139:1247-59	

Examiner
SignatureDate
Considered

*EXAMINER; Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached..

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

[SOR.PAT.FORM 107 – 07/99]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A and B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **3** of **3****Complete if Known**

Application Number	09/513,151
Filing Date	February 25, 2000
First Named Inventor	Siegfried HEKIMI et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	979-1-017

HEKIMI et al. (1995) Genetics 141:1351-67

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached..

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

[SOR.PAT.FORM 107 - 07/99]

